

# Deep Point Cloud Registration

Uber ATG Toronto Reading Group

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Presenter: Andrei Bârsan

**Uber**






## Agenda

- 01** Overview & Motivation
- 02** Background: Point Cloud Registration
- 03** How Can Learning Help?
- 04** DeepVCP &  $L^3$ -Net
- 05** Deep Closest Point
- 06** Discussion

# Overview & Motivation

- Point cloud data is ubiquitous
- Purely geometric methods can work very well
  - But limitations remain (dynamic objects, noisy data, domain shift, some need good initialization)
- Learning can help with this!
  - Learning + point clouds = relatively new
- **Please feel free to stop me if you have any question!**

# Overview & Motivation Cont'd

- Focus here: **point cloud registration**
- Applications:
  -  Medical image processing
  -  Motion estimation
  -  Localization, mapping, SLAM

# Background: Types of 3D Data

- Point sets (with or without normals)
- Surfels
- Implicit surfaces
- Parametric surfaces
- Voxels
- Meshes

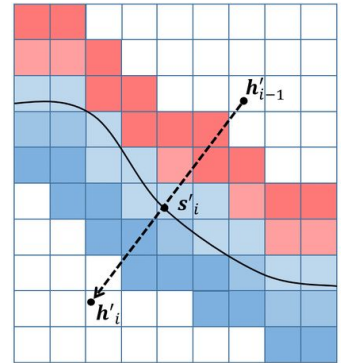
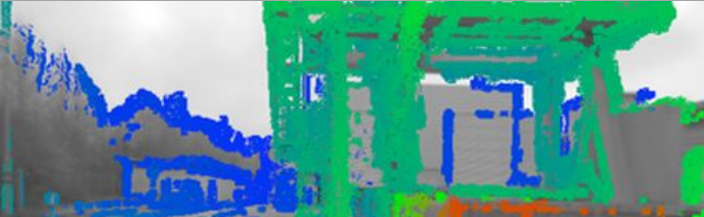
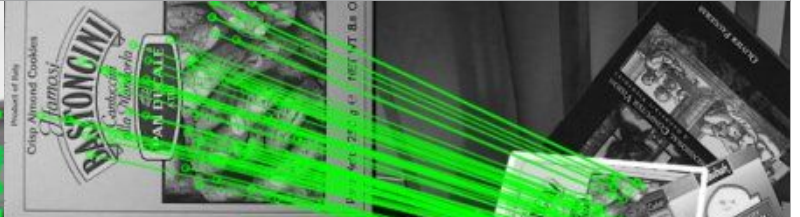
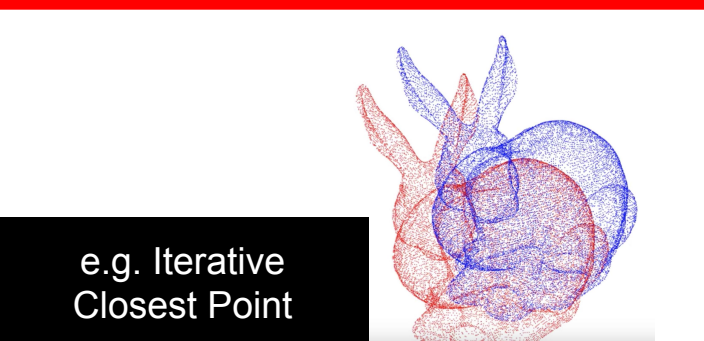
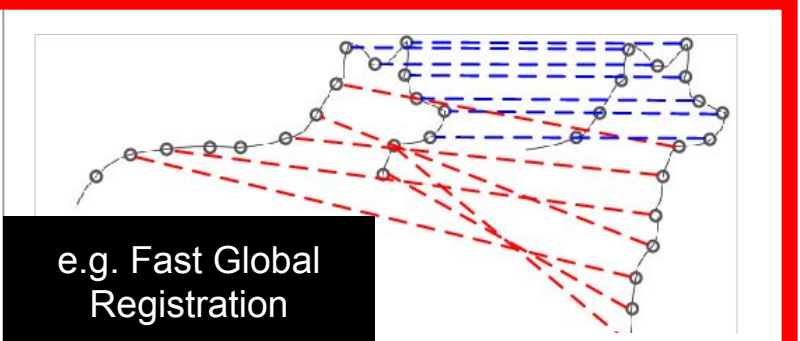


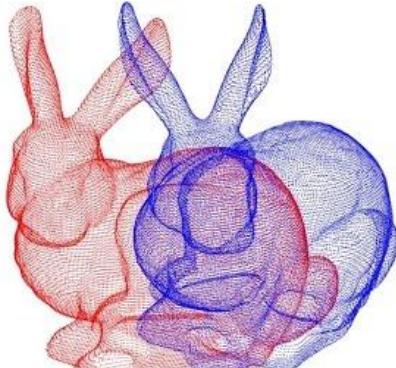
Image Source: Real Time Stable  
Haptic Rendering Of 3D  
Deformable Streaming Surface

# Background: Registration

	Local	Global
Images	 <p>e.g. direct SLAM</p>	 <p>e.g. features + RANSAC</p>
Point Clouds	 <p>e.g. Iterative Closest Point</p>	 <p>e.g. Fast Global Registration</p>

# Background: ICP

- ICP = Iterative Closest Point
  - **Local** method for point cloud registration
  - Needs good initialization



Called with a few lines of code in Open3D

```
import open3d as o3d
import numpy as np

if __name__ == "__main__":
    source = o3d.io.read_point_cloud("../TestData/ICP/cloud_bin_0.pcd")
    target = o3d.io.read_point_cloud("../TestData/ICP/cloud_bin_1.pcd")
    threshold = 0.02
    trans_init = np.asarray([[0.862, 0.011, -0.507, 0.5],
                             [-0.139, 0.967, -0.215, 0.7],
                             [0.487, 0.255, 0.835, -1.4], [0.0, 0.0, 0.0, 1.0]])

    print("Apply point-to-plane ICP")
    reg_p2l = o3d.registration.registration_icp(
        source, target, threshold, trans_init,
        o3d.registration.TransformationEstimationPointToPlane())
    print(reg_p2l)
    print("Transformation is:")
    print(reg_p2l.transformation)
    print("")
```

# Background: ICP Objective

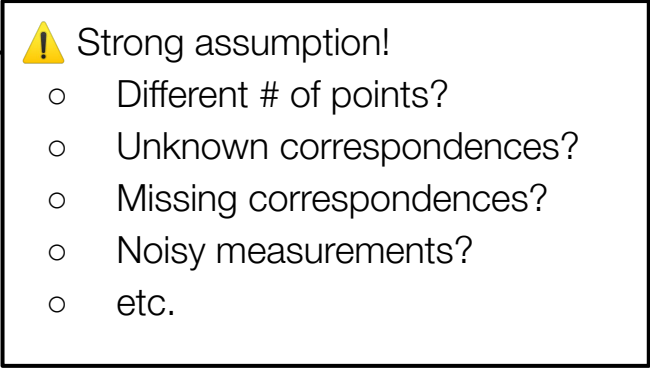
- ICP = Iterative Closest Point
- Mathematical formulation:
  - Given two **corresponding** point sets

$$X = \{x_1, \dots, x_n\}$$

$$Y = \{y_1, \dots, y_n\}$$

- Solve:

$$R^*, t^* = \arg \min_{R, t} \frac{1}{n} \sum_{i=1}^n \|x_i - Ry_i - t\|^2$$

- 
- ! Strong assumption!
- Different # of points?
  - Unknown correspondences?
  - Missing correspondences?
  - Noisy measurements?
  - etc.

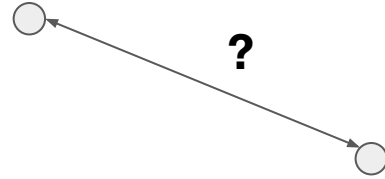


# Background: ICP Algorithm

- Inputs:
  - Point clouds:  $\mathbf{P}$  and  $\mathbf{Q}$
  - Initial transform:  $\mathbf{T}_0$
- while (not converged):
  - (1) For each  $\mathbf{p}$  in  $\mathbf{P}$  pick closest neighbor  $\mathbf{q}_p$  in  $\mathbf{T}_i\mathbf{Q}$
  - (2) Solve for rigid motion  $\mathbf{T}'$  from correspondences  $(\mathbf{p}, \mathbf{q}_p)$
  - (3) Update  $\mathbf{T}_{i+1} := \mathbf{T}'\mathbf{T}_i$

# Background: Limitations of ICP

- (1) What *is* the closest neighbor?
  - Distance function? Normals? Weighting?
- (2) Noisy data and outliers?
  - Dynamic objects?
- (3) Scalability? (100k+ points)
- (4) Initialization
  - If you don't have a good initial guess...
  - ...you're gonna have a bad time!



# How Can Learning Help?

- Image-based method benefit from learning
  - Image nearest neighbor: NetVLAD >> VLAD
  - Classification: CNNs >> Bag-of-visual-words
- Learning also helps with point cloud tasks:
  - Classification: PointNet, DGCNN
  - Segmentation: PointNet, ContConv
- Can **learn** which areas make the best matches.

# DeepVCP (Lu et al., ICCV '19)

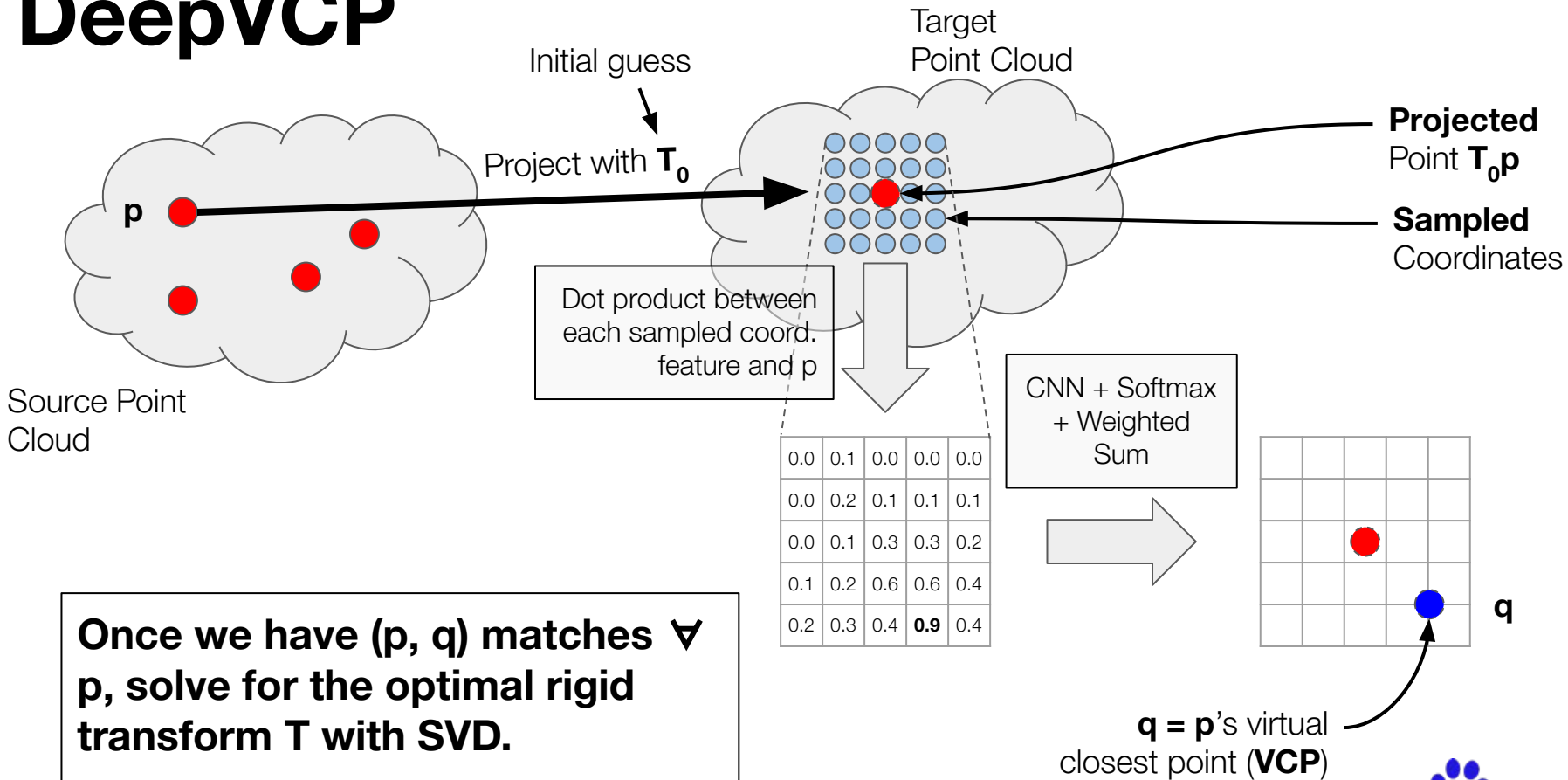
(Formerly known as DeepICP)

- VCP = **Virtual** Corresponding Points
- Not iterative; solves for transform *directly*.
- Uses LiDAR (with intensity), and aligns over 6-DoF
  - $x, y, z, \text{roll, pitch, yaw}$
- Local method (needs good initialization  $\mathbf{T}_0$ !)
  - Virtual point computation depends on it
- Evaluated on KITTI, Apollo-SouthBay, 3DMatch, Terrestrial Laser Scanners (TLS)

# DeepVCP

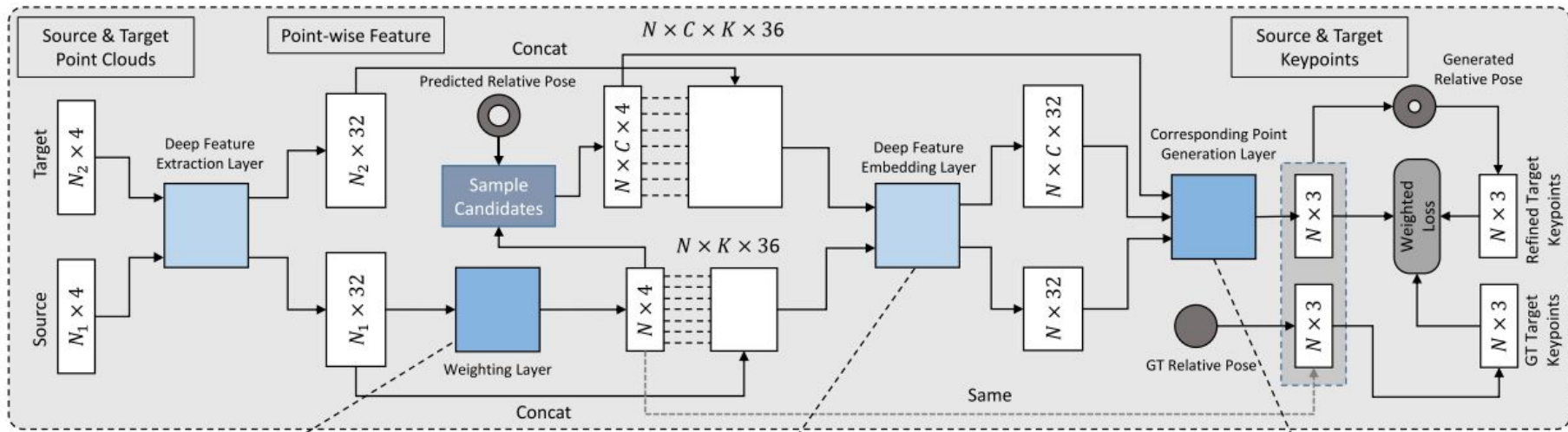
- Problems & solutions:
  1. Lots of points! (N points)
    - ⇒ Compute a high-dim **feature** for each LiDAR point
    - ⇒ Compute a saliency **score** for each point and pick **top-M**  $\ll$  **N** for matching
  2. Exact match for a point **p** in **P** may *not exist* in **Q**!
    - ⇒ **Generate** multiple “matches” along a fixed grid around **p**’s projection in **Q**
      - Projection based on the initial guess transform **T<sub>0</sub>**
    - ⇒ Each match’s features depend on features in the **target** point cloud
    - ⇒ Assign **score** to each generated “match”
    - ⇒ Score-weighted average of matches is the “**virtual point**” (**p**’s correspondent)

# DeepVCP



Once we have  $(p, q)$  matches  $\forall p$ , solve for the optimal rigid transform  $T$  with SVD.

# DeepVCP: Method



# DeepVCP: Details

- No iteration like in vanilla Iterative Closest Point
- Loss:
  - First, L1 between
    - computed position of  $\mathbf{p}$ 's virtual closest point (VCP)
    - true position under the GT transform
  - (Then, actually solve for rigid transform.)
  - Next, L1 between
    - computed position of  $\mathbf{p}$  using estimated transform
    - true position under the GT transform



# DeepVCP: Results

Method	Angular Error( $^{\circ}$ )		Translation Error( $m$ )	
	Mean	Max	Mean	Max
ICP-Po2Po [3]	0.139	1.176	0.089	2.017
ICP-Po2Pl [3]	0.084	1.693	0.065	2.050
G-ICP [37]	<b>0.067</b>	<b>0.375</b>	<b>0.065</b>	2.045
AA-ICP [28]	0.145	1.406	0.088	2.020
NDT-P2D [39]	0.101	4.369	0.071	2.000
CPD [26]	0.461	5.076	0.804	7.301
3DFeat-Net [46]	0.199	2.428	0.116	4.972
Ours-Base	0.195	1.700	0.073	0.482
Ours-Duplication	0.164	1.212	0.071	<b>0.482</b>

Results on KITTI dataset.

Similar numbers on SouthBay.

Much better worst-case behavior.

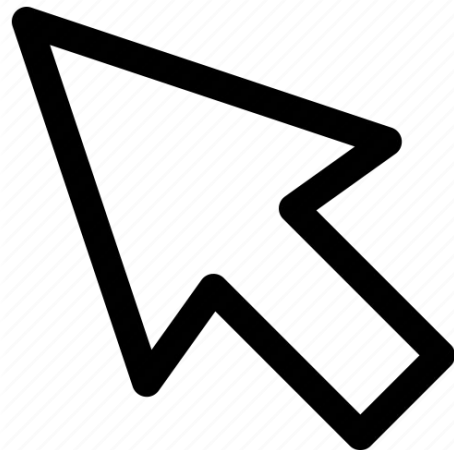
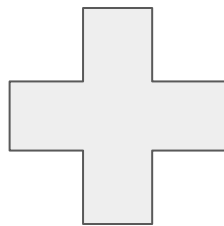
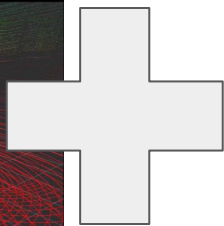
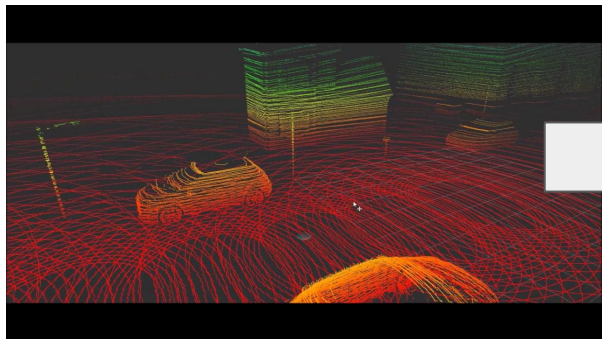
# DeepVCP: Conclusions

- Good worst-case guarantees (better than ICP)
- For each point in source, “predict” position in target
- Then solve for 6-DoF transform with SVD
- Limitations:
  - Still local (relies on good initialization)
  - No temporal consistency (see L<sup>3</sup>-Net for that)
  - Spatial information aggregation relatively simple (KNN)

# L<sup>3</sup>-Net (Lu et al., CVPR '19)

- Same group as DeepVCP.
- **TL; DR:** Basically DeepVCP **but...**
  - a. not end-to-end,
  - b. temporally consistent predictions (RNN-based),
  - c. 3-DoF (x, y, yaw) instead of 6-DoF (x, y, z, yaw, pitch, roll), and
  - d. (learned) cost volume inference instead of solver.

# How About Approaching the Problem Differently?

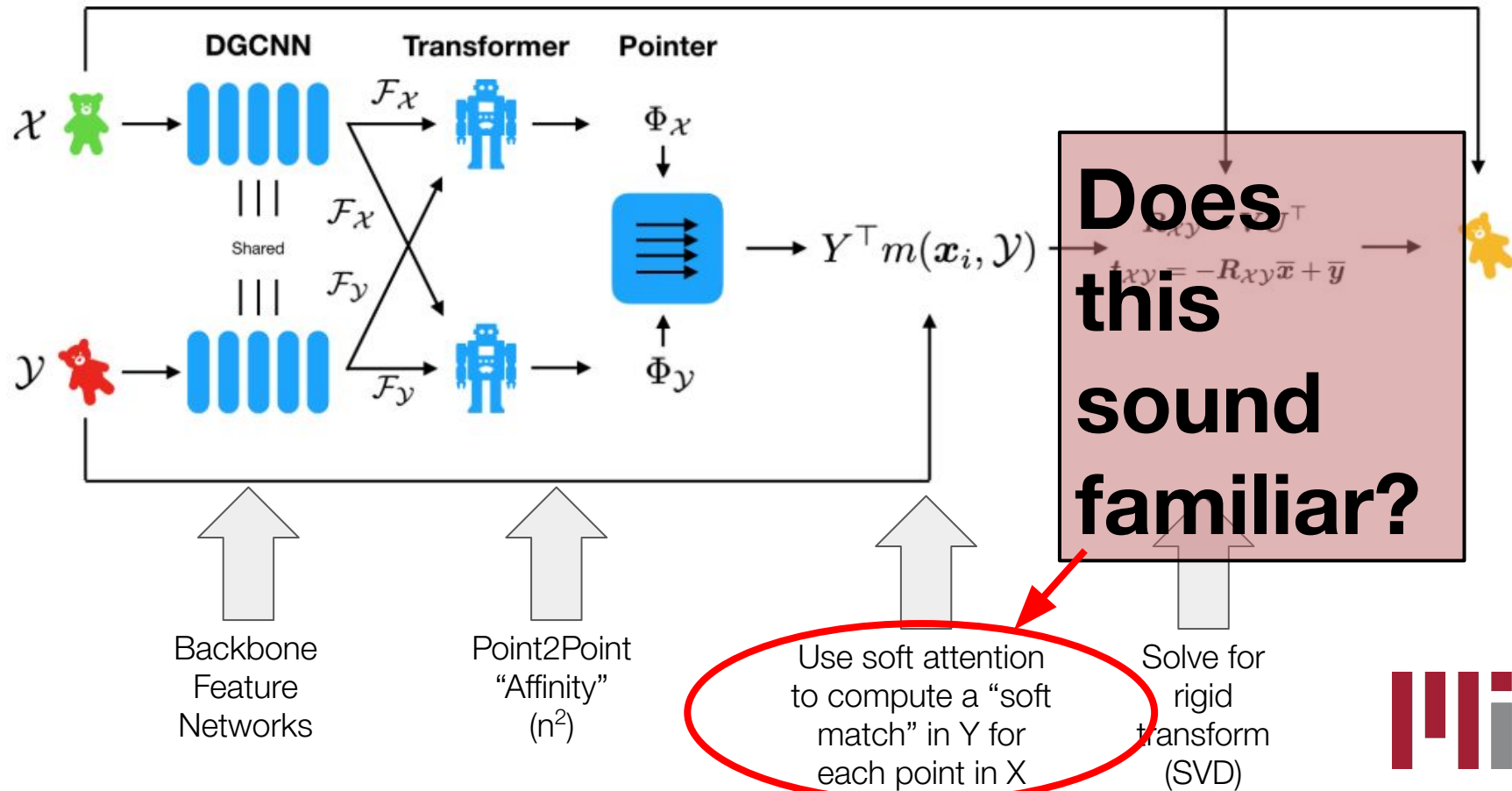


# Deep Closest Point (Wang & Solomon)

- Also not iterative; solves for transform directly.
- Uses just 3D data (no intensity), and aligns over 6-DoF
  - (x, y, z, roll, pitch, yaw)
- Global method
  - Each point in **P** attends to each point in **Q**
  - No “guess” transform **T<sub>0</sub>** assumed
- Very well-written paper IMHO, great primer on ICP itself!
- Evaluated (only) on **ModelNet40**



# Deep Closest Point: Method



# Deep Closest Point: Method Details

- Backbones (embed points  $[N \times 3] \rightarrow [N \times D]$ ):
  - PointNet
  - Dynamic Graph CNN (build k-NN graph and run GNN inference)



# Deep Closest Point: Method Details

- Attention
  - $\mathbf{F}_x$  = features of point cloud X
  - $\phi$  = fuses information from one point cloud's features into the other ( $\mathbf{O}(n^2)$  !!!1 in number of points)

$$\Phi_x = \mathcal{F}_x + \phi(\mathcal{F}_x, \mathcal{F}_y)$$

$$\Phi_y = \mathcal{F}_y + \phi(\mathcal{F}_y, \mathcal{F}_x)$$

[N x P]

[N x P]

[N x P]

(Asymmetric  
attention-based  
fusion.)





# Deep Closest Point: Method Details

- Generate soft assignments

$$m(\mathbf{x}_i, \mathcal{Y}) = \text{softmax}(\Phi_{\mathcal{Y}} \Phi_{\mathbf{x}_i}^{\top})$$

- **Soft** assignments between **X** and **Y** points  $\Rightarrow$  **hard** assignments between **X** and *weighted sums* of points in **Y**.

$$\Phi_{\mathcal{X}} = \mathcal{F}_{\mathcal{X}} + \phi(\mathcal{F}_{\mathcal{X}}, \mathcal{F}_{\mathcal{Y}})$$

$$\Phi_{\mathcal{Y}} = \mathcal{F}_{\mathcal{Y}} + \phi(\mathcal{F}_{\mathcal{Y}}, \mathcal{F}_{\mathcal{X}})$$

[N x P]

[N x P]

[N x P]

(Asymmetric  
attention-based  
fusion.)



# Deep Closest Point: Rigid Transform

- Once we have hard correspondences, nothing fancy
- SVD
  - Can backpropagate through SVD solver in TF and PyTorch
  - (Don't try to implement this at home, kids! ;)



# Deep Closest Point: Training & Results

- Train using GT transforms with a regression loss

Model	MSE( $R$ )	RMSE( $R$ )	MAE( $R$ )	MSE( $t$ )	RMSE( $t$ )	MAE( $t$ )
ICP	892.601135	29.876431	23.626110	0.086005	0.293266	0.251916
Go-ICP [53]	192.258636	13.865736	2.914169	0.000491	0.022154	0.006219
FGR [57]	97.002747	9.848997	<b>1.445460</b>	0.000182	0.013503	<b>0.002231</b>
PointNetLK [16]	306.323975	17.502113	5.280545	0.000784	0.028007	0.007203
DCP-v1 (ours)	19.201385	4.381938	2.680408	<b>0.000025</b>	<b>0.004950</b>	<b>0.003597</b>
DCP-v2 (ours)	<b>9.923701</b>	<b>3.150191</b>	2.007210	<b>0.000025</b>	0.005039	0.003703

# Recap

	DeepVCP	L3-Net	Deep Closest Point
<b>Type</b>	local, 6-DoF	local, 3-DoF	global, 6-DoF
<b>Input</b>	points+intensity	points+intensity	points
<b>Features</b>	learned keypoint selection, learned feats	handcrafted keypoints, learned feats	use all points, learned feats
<b>Matching</b>	search locally for “virtual match”	search locally for “virtual match”	PointerNet to find “virtual match” in ENTIRE target
<b>Inference</b>	SVD	Learned cost volume aggregation	SVD
<b>Datasets</b>	KITTI, SouthBay, 3DMatch, TLS	SouthBay	ModelNet40
<b>Run Time</b>	2sec on GPU	120ms on GPU	10--750ms on GPU (quadratic in nr of points!)
<b>Conclusion</b>	promising (esp. in worst case) but still quite slow	looks robust but evaluation metrics could be stricter	looks good but no real-world evaluation

# Discussion

- Point cloud registration still an open problem
- Clearly benefits from learning
  - cf. challenges with dynamic objects, intensity calibration, outliers
- If we can leverage temporal dimension we should do it!
- Challenges remain:
  - E2E learning can be slow
  - Need larger benchmarks, real-world data and tougher metrics

# Future Work

- Even a naive combination of the two methods already has great potential IMHO
  1. Fancier backbones (e.g., DGCNN) should help in DeepVCP
  2. Downsample feature point clouds like in DeepVCP
    - Keeps quadratic attention blow-up under control
  3. Global attention like in DCP makes the whole method global
    - Should improve robustness a LOT

# References

- Pomerleau, F., Colas, F., & Siegwart, R. (2015). A Review of Point Cloud Registration Algorithms for Mobile Robotics. *Foundations and Trends in Robotics*, 4(1), 1–104. <https://doi.org/10.1561/23000000035>
  - A great recent survey on the ICP family applied to robotics. Very comprehensive (>100 pages) but easy to skim and browse.
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# Thank you!

Q & A